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To general Director
ASAP Consulting, OOO
V. A. Vorobyov

Feedback on Cooperation with ASAP Consulting, OOO

Omega Funds Investment Limited, a Cyprus investment company, carries out its activities under the investment service license issued by the Cyprus Securities and Exchange Commission (CySEC). The Company operates in financial markets of Russia, Europe and the USA, which allows it to provide its clients with various opportunities for trading and investing in financial instruments.

In the middle of 2018, Omega Funds Investment Limited decided to replace its technological platform with a new solution that would both meet the requirements of the European regulator (including MIFID II) and boost the dynamic growth of the Company and its scaling capabilities. The Company opted for SAP S/4HANA TRM and ASAP Consulting, OOO, as an implementation service provider.

The project objective was to implement the functionality of SAP S/4HANA TRM in order to take under control all types of transactions, namely:

- transactions with instruments of equity and foreign exchange markets;
- transactions in the financial derivative market;
- securities sale and purchase transactions;
- repo transactions.

New approaches and solutions were developed for such business processes as client/counterparty relation and financial instrument management. For example, the project made it possible to modernize the counterparty profile maintenance procedures, client/contractor classification based on FATCA and AML, client account management, calculation of client transaction fees and management accounting capabilities.

The project scope also covered the following aspects:

- acceptance and processing of clients' orders;
- arrangement of transactions based on incoming orders;
- settlement of transactions in the context of a separate client portfolio as well as with client assets in general;
- settlement of transactions with counterparties;
- creation of SWIFT messages;
- generation of ESMA reporting in line with MIFID II requirements;
- connection and processing of streams for automatic loading of transactions to the database over the FIX protocol;
- development and implementation of clients' personal accounts.

The project lasted 9 months.

The resulting solution allowed Omega Funds Investment Limited to get a deeper insight and increase the transparency of broking and dealing processes in the Company, lay a strategic foundation for business development, exclude the manual factor in preparing and submitting mandatory reporting to clients and introduce a reliable method of creating and submitting reporting in line with MIFID II in order to minimize regulatory risks.

We would like to particularly note the expertise and professionalism of ASAP Consulting' consultants and developers, who are highly competent both in SAP S/4HANA TRM and in our business specifics. It is also worth emphasizing their ability to promptly offer alternative solutions to bring the results we seek.

We do hope to continue our cooperation on further development of the SAP TRM system functionality so as to realize our new business initiatives and rise to new market challenges successfully.

Director

Omega Funds Investment Limited

R.N. Levshin